# Adaptive changes in visual cortex following prolonged contrast reduction

MiYoung Kwon	Department of Psychology, University of Minnesota, Minneapolis, MN, USA	$\widehat{\square} \boxtimes$
Gordon E. Legge	Department of Psychology, University of Minnesota, Minneapolis, MN, USA	
Fang Fang	Department of Psychology and Key Laboratory of Machine Perception (the Ministry of Education), Peking University, Beijing, China	
Allen M. Y. Cheong	Department of Psychology, University of Minnesota, Minneapolis, MN, USA	
Sheng He	Department of Psychology, University of Minnesota, Minneapolis, MN, USA	

How does prolonged reduction in retinal-image contrast affect visual-contrast coding? Recent evidence indicates that some forms of long-term visual deprivation result in compensatory perceptual and neural changes in the adult visual pathway. It has not been established whether changes due to contrast adaptation are best characterized as "contrast gain" or "response gain." We present a theoretical rationale for predicting that adaptation to long-term contrast reduction should

In this study, we tested a compensation hypothesis, that prolonged exposure to low contrasts results in compensatory changes in the adult human visual system. We examined the effects of prolonged contrast deprivation on contrast coding by having subjects with normal vision view the world through contrast-reducing goggles for 4 hours. Subjects' contrast-discrimination sensitivity and fMRI contrast response were measured in pre- and posttests. Contrast discrimination thresholds are typically measured by determining the smallest contrast difference required to discriminate two patterns that are identical except for a difference in contrast. The plot of contrast increment threshold  $(\Delta C)$  vs. baseline (i.e. pedestal) contrast (C) is called the contrast discrimination function or threshold versus contrast (TvC) function. This function has a characteristic 'dipper shape' (Legge & Foley, 1980; Nachmias & Sansbury, 1974). It has been hypothesized that the shape of contrast discrimination functions is accounted for by the form of underlying neuronal contrast response functions (CRF) such that an increment in contrast  $(\Delta C)$  can be detected only when the increment in the neuronal response increases by some criterion amount ( $\Delta R_c$ ) (Legge & Foley, 1980).

The first goal of the current study was to see whether prolonged exposure to low contrasts brings about any compensatory changes in visual contrast coding. If prolonged contrast reduction results in increased gain of the response in visual cortex—a form of neural compensation—then we would expect to see improved contrast discrimination, i.e. reduced values of  $\Delta C$ . If the adaptation occurs at the cortical level including binocular neurons, we should observe an interocular transfer effect of the adaptation to the unadapted fellow eye.

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Our second goal was to identify the mechanism of the prolonged adaptation. There are two likely mechanisms underlying any adaptive change: increased contrast gain and increased response gain. Often contrast adaptation has been studied on a short time scale of seconds or minutes. Its mechanism has often been described by a contrast-gain model in which the system adjusts the dynamic range of the CRF to be centered near the mean stimulus contrast. But this contrast-gain mechanism may not provide an effective strategy for dealing with prolonged contrast reduction.

To understand why prolonged contrast deprivation might involve a different mechanism from short-term contrast adaptation, consider a simplified characterization as follows. Assume that:

1. the response mechanism (neuron or BOLD) has a limited response range (see Footnote 1) with a maximum value of  $R_{\text{max}}$ ;

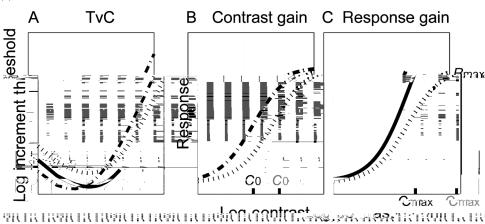
2. this finite range of response is mapped onto a wide range of contrast values from 0 to some maximum value,  $C_{\text{max}}$ .

This mapping is now referred to as the "contrast response function," CRF.

This nonlinear system has two competing goals: first, to retain some responsiveness across the entire contrast range; and second, to maximize differential response (i.e., discrimination) near the mean contrast level,  $C_0$ . One prominent property of this nonlinear mapping is that the CRF allocates more of the response range to a narrow band of contrast near the mean contrast  $C_0$ , at the expense of coarser response coding for contrast levels much lower or higher than the mean. In other words, the derivative of the CRF is highest near  $C_0$  and decreases for contrast levels at increasing multiplicative differences above or below  $C_0$ .

Now consider how such a system might respond in situations of short-term and long-term contrast adaptation: 1) Short-term adaptation is a situation in which there is a change in mean contrast,  $C_0$ , but the overall contrast range (0 to  $C_{max}$ ) remains unchanged. In this case, the system simply moves the steeper part of the CRF to center on the new value of  $C_0$ . This is what is termed the "contrast gain" model. On a response vs. log contrast scale, the CRF shifts leftward or rightward to re-center the most sensitive part of the curve (i.e., highest derivative) near  $C_0$  (Figure 1B). But the CRF continues to cover the entire contrast range from 0 to  $C_{\text{max}}$ . If the mean contrast  $C_0$  decreases, the CRF shifts to the left on the log contrast scale of the CRF. The corresponding effect on the TvC function is a diagonal shift downward and to the left in log-log coordinates (dashed line in Figure 1A); 2) Long-term adaptation to reduced contrast is a situation in which the mean contrast  $C_0$  may stay constant, but the maximum contrast  $C_{max}$  is reduced substantially, e.g., by a factor of 5. In this case, because the overall contrast range is reduced, the system can allocate more of its limited dynamic range to the narrow band of contrast values around  $C_0$ . The effect is to steepen the CRF near  $C_0$  (Figure 1C). This is what is termed the "response gain" model. On a response vs. log contrast scale, the CRF has a reduced contrast range (because  $C_{\text{max}}$  is smaller), but the CRF is steeper (higher derivative) at  $C_0$ . But again the CRF continues to cover the entire contrast range from 0 to  $C_{\rm max}$ . The corresponding change in the TvC is expected to be a vertical downward shift representing improved contrast discrimination across the range of testable pedestal contrasts (solid line in Figure 1A).

The above analysis implies that we would expect contrast gain to describe short-term "adaptation" for a system that retains a wide range of contrast inputs but is currently dominated by a specific input contrast  $C_0$ . We would expect response gain to describe CRF changes in a system that is confronted with a long-range reduction in the overall contrast range designated by  $C_{\text{max}}$ .



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Figure 1. Two potential mechanisms describing effects of prolonged contrast adaptation on Threshold vs. Contrast (TvC) functions and Contrast Response Functions (CRF): (A) TvCs; (B) CRF Contrast gain; (C) Response gain. Dotted lines indicate before adaptation, dashed line for contrast-gain and solid line for response-gain.

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The third goal of this study was to confirm the theoretical linkage between TvC and CRF. Earlier studies have shown good agreement between TvCs and CRFs measured in V1 with fMRI (Boynton, Demb, Glover, & Heeger, 1999; Zenger-Landolt & Heeger, 2003) such that the TvC is approximately proportional to 1 over the derivative of the CRF (see Equation 4 in Linking psychophysics and fMRI BOLD measurements section).

We focused on the early visual areas V1 and V2 for three reasons:

- 1. There is already evidence linking the CRFs measured with fMRI BOLD signals to psychophysical contrast discrimination data (e.g., Boynton et al., 1999);
- 2. Higher-level cortical areas such as hV4 and Lateral Occipital Complex (LOC) do not appear to represent contrast faithfully (e.g., Gardner et al., 2005; Murray & He, 2006); and
- 3. With grating stimuli, BOLD signals can be measured more reliably in early visual areas, increasing the likelihood of finding subtle adaptation effects.

In summary, our empirical predictions are that adaptation will produce:

- 1. a decrease in contrast discrimination thresholds compared with pre-adapted thresholds;
- 2. an increase in the gain of fMRI contrast response functions; and
- 3. a reduction in contrast discrimination thresholds for the unadapted fellow eye.

Confirmation of these predictions would lend support to the compensation hypothesis, and would indicate that the adaptation effects are cortical in origin.

# Method

#### **Apparatus**

We used artificial contrast reduction to test normally sighted subjects. Contrast reduction was implemented using a disk-shaped (36 mm in diameter) contrastreducing filter worn in front of the eye. The filter attenuated contrast by a factor of 3 (0.5 log unit) while minimizing blur (acuity reduction is less than 0.2 logMAR unit). The filter also has a factor of 2 (0.3 log unit) luminance reduction. The filter is one of a series of contrast filters built by Denis Pelli for research purposes (c.f., Pelli, 1987, pp. 134–146) using 0.5  $\mu$ m diamonds and clear casting acrylic. The contrast reduction factor was controlled by making filters with various concentrations of diamonds (Pelli, personal communication, September 22, 2006). The filters were calibrated psychophysically by measuring contrast sensitivity (Pelli-Robson test) with and without the filter in front of the eye (Table 1). We further confirmed the filter's contrast reduction by comparing the contrast-detection thresholds for the grating patterns used in the Main experiment, for viewing with the contrastreducing goggles and the luminance matched Neutral Density (ND)-filter goggles. As expected, we observed a three-fold elevation of contrast-detection threshold for the contrast-reducing goggles viewing condition (mean ratio across three subjects of  $3.13 \pm 0.19$ ).

		S1	S2	S3
Log contrast sensitivity Visual acuity	Without goggles With goggles Without goggles	1.90 1.30 0.14	2.05 1.60 0.02	1.65 1.15 0.24
(logMAR)	With goggles	0.14	0.02	0.24

Table 1. Log contrast sensitivity and visual acuity for the tested eye of each participant with/without the contrast-reducing goggles.

The filter's blur was also calibrated psychophysically by measuring visual acuity (Lighthouse Distance acuity test) with and without the filter in front of the eye (Table 1). The luminance attenuation of the filter was calibrated with a MINOLTA CS-100 Chroma Meter.

Two types of goggles were used for this study. For one set of goggles, the contrast-reducing filter was worn over the subject's dominant eye, with dominance determined by a subjective alignment test. A translucent occluder, transmitting virtually no pattern information, but matched for overall light transmission, covered the fellow eye. The filter and occluder were mounted in goggles which blocked all light except through the filter apertures. We refer to these goggles as contrast-reducing goggles. For the second set of goggles, a neutral density (ND) filter (optical density = 0.3 log units), matched for luminance attenuation with the contrast-reducing filter, was worn over the dominant eye and the translucent occluder was mounted over the fellow eye. We refer to these goggles as ND-filter goggles.

#### Subjects

Three subjects participated in this study. All subjects had normal or corrected-to-normal vision, and had normal contrast sensitivity (Table 1). They were experienced psychophysical subjects (three of the authors) and served in both behavioral and fMRI experiments. Written informed consent was obtained in accordance with a protocol approved by the University of Minnesota Institutional Review Board.

#### **Experimental design**

This study consisted of three experiments (Main, Control and Interocular-transfer tests). The main experiment examined the effect of prolonged contrast attenuation on contrast coding. Separate days were devoted to the effects of adaptation on behavior (psychophysics) and brain response (BOLD). In both cases, subjects wore the contrast-reducing goggles during pre- and post tests, as well as during the four hours of adaptation (Figure 2).

During the four hours of goggles adaptation, subjects went about their usual daily activities such as reading, working on computers, walking around the building, and eating lunch. We selected four hours of adaptation because pilot testing with two hours revealed effects that were weaker and hard to measure.

A control experiment was identical to the main

Stimuli were displayed on a uniform gray field  $(46 \text{ cd/m}^2)$ at a viewing distance of 55 cm. The stimuli were generated and controlled using Matlab (version 7.0) and Psychophysics Toolbox extensions (Mac OS X) (Brainard, 1997; Pelli, 1997), running on a Power Mac G5 computer (model: M73). The display was a SONY Trinitron color graphic display (model: GDM-FW520; refresh rate: 85 Hz; resolution:  $1024 \times 768$ ). Stimuli were rendered with a video card with 8 bit input resolution and 14 bit output resolution using Cambridge Research System Bits++. Luminance of the display monitor was linearized using an 8-bit look-up table in conjunction with photometric readings from the colorCAL colorimeter (Cambridge Research System). The chromaticity of the monitor was also made linear so that the RGB values could be uniformly distributed across all grayscale levels.

# P' ced 'e

Contrast increment thresholds were measured with a temporal two-alternative forced-choice (2AFC) staircase procedure. Seven pedestal levels (0%, 0.3%, 1%, 1.6%, 3.3%, 8.3% and 16.6% filtered contrast (see Footnote 2)) were tested and the step size of the staircase was 1 dB. A 3-down-1-up staircase rule was adopted yielding a threshold criterion of 79.4% correct (Wetherill & Levitt, 1965). The geometric mean of 7 staircase reversals was taken as the contrast threshold for each staircase run. The final thresholds were based on a geometric mean of four thresholds obtained from repeated measures on two different days. The order of pedestal contrasts was counterbalanced with ascending and descending sequences on both days.

In a discrimination trial, the stimuli C and  $C + \Delta C$  were each presented for 200 ms, accompanied by an auditory tone, and separated by 500 ms (Figure 3).

The subjects' task was to judge which stimulus interval contained the higher contrast by pressing one of two keys. Auditory feedback was given whenever a wrong answer

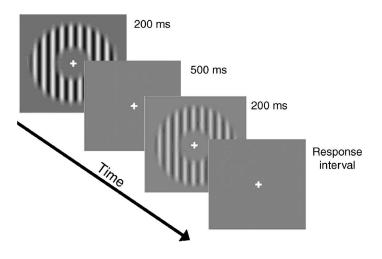


Figure 3. A schematic diagram of one trial in the contrastdiscrimination task.

Contrast-discrimination thresholds were measured in preand post-tests. Each subject participated in 5 separate sessions of behavioral testing on five different days: four sessions were dedicated to the experimental conditions (two for the main experiment and two for the interocular transfer experiment) and one session for the control experiment.

#### fMRI measurement

#### S m

The test stimuli were the same as those in the psychophysical experiments, except that the stimuli were counterphase flickered at 10 Hz. The annulus grating was chosen because it is difficult to identify the boundaries between the early visual cortical areas for the central part of the visual field. The display was controlled and generated by a DELL Laptop computer running MATLAB (version. 6.2) with PsychToolBox extensions (Windows 2000) (Brainard, 1997; Pelli, 1997). In the scanner, the stimuli were back-projected using a video projector (60 Hz) onto a translucent screen placed inside the scanner bore. Subjects viewed the stimuli through a mirror located above their eyes. The viewing distance was 85 cm. The stimulus was displayed on a uniform gray field  $(90 \text{ cd/m}^2)$ . The luminance of the display projector was made linear using an 8-bit look-up table with photometric readings from a MINOLTA CS-100 Chroma Meter.

#### P' ced 'e

fMRI BOLD contrast response functions were measured in pre- and post-tests. The stimulus contrasts were determined by the results from the behavioral data, so that the fMRI contrast response function would include the stimulus contrast corresponding to the dipper portion of the contrast-discrimination function. Since subjects reach the dipper at a pedestal stimulus contrast of 1% with the contrast-reducing goggles, we used four stimulus contrast levels (1%, 3.3%, 8.3%, 16.6% filtered contrast).

The four contrast stimulus conditions were measured in separate scans, and the set of contrasts was repeated twice, one in ascending and the other in descending order. Thus, 8 scans were performed in one session. Each subject participated in 9 separate sessions—one to define retinotopic areas, two pre- and two post tests for the main experiment, and two pre- and two post-tests for the control experiment. The contrast response functions for the target contrast were measured using a block design paradigm, in which 12 sec test blocks and 18 sec baseline blocks were interleaved (Figure 4A). Each scan consisted of an initial 10 sec that was discarded, followed by an 18 sec off-period and then seven on- and off-periods (each 30 sec), which

made the duration of each scan 238 sec (approximately 4 min).

To equate for attentional demand, we measured sub-

function with an exponent of *m*. The typical values of *n* and *m* are 2 and 0.4 respectively, so that the function is expansive  $(C^{2.4})$  at low contrasts and compressive at high contrasts  $(C^{0.4})$  (Legge & Foley, 1980).

The fits were achieved using a simplex search method (Lagarias, Reeds, Wright, & Wright, 1998) to search for the optimal fit producing the least squares error.

Psychophysical contrast increment thresholds can be predicted from a CRF (Equation 2) by assuming that a contrast increment is detectable when the response R increases by a criterion amount (Legge & Foley, 1980). That is, the predicted threshold,  $\Delta C$  satisfies:

$$R'(C + \Delta C) - R'(C) = \Delta R_c, \qquad (3)$$

where  $\Delta C$  is the threshold contrast increment and  $\Delta R_c$  is the criterion response increment. Equation 3 can be solved numerically for various pedestal contrast *C* to produce a predicted TvC curve (see details in Boynton et al., 1999). As a result, the TvC curve is approximately proportional to 1 over the derivative of the CRF:

$$\Delta C = \Delta R_c \left(\frac{1}{dR/dC}\right),\tag{4}$$

Then, Equation 4 is fit to the TvC functions. The shape of the TvC is determined by the relative values of  $C_{50}$ , *n* and *m*. The criterion response increment  $\Delta R_c$ , acts as a scaling factor of the TvC curve so that changing  $\Delta R_c$  shifts the TvC curve vertically on the log-log coordinates. The predicted  $\Delta C$  increases with pedestal contrast for high contrasts ( $C > C_{50}$ ) where the CRF is compressive. The predicted  $\Delta C$  decreases with increasing pedestal contrast for low contrasts ( $C < C_{50}$ ) where the CRF is accelerating.

Simultaneous fits to the TvC and fMRI BOLD CRF data were achieved for each subject using the simplex search method to minimize the weighted residual sum of squares. The reciprocal of the variance of each data set (the TvC and CRF) were used as weights (i.e.,  $1/\sigma^2$ ). The weights were incorporated into the least squares criterion of the simultaneous fits so that the two types of data (the TvC and CRF) would contribute approximately equally in the curve fitting (c.f., Boynton et al., 1999).

## Results

#### Subjective reports

At first, subjects found that performing daily activities with the contrast-reducing goggles, such as reading and walking around, to be physically and mentally demanding. However, they reported that after about three hours, they had become less consciously aware of the presence of the goggles. Paraphrasing some of their comments, "At first, I had difficulty finding my way around the building, in particular the stairs. Also, accurate typing for routine computer work was difficult and reading hard copy was also relatively challenging. However, these difficulties gradually diminished. Those tasks did not seem to be as difficult at the end of the adaptation."

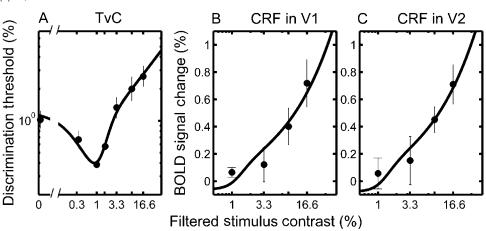
One subject also commented that "In the pre-test, I hardly saw any stimulus grating at low contrast levels, but after 4 hours adaptation, in the post-test, those stimuli became noticeably visible and less murky."

These subjective descriptions suggest that there might be some compensatory changes in subjects' perceived contrast as a result of wearing the contrast-reducing goggles.

#### Linkage between TvCs and fMRI CRFs

The TvC and CRF data sets for comparable conditions from individual subjects were fit simultaneously using the four parameters ( $R_{max}$ ,  $C_{50}$ , n, m) in Equation 2. Fits to both TvC and CRF shared the four parameters. Then the criterion response value,  $\Delta R_c$ , allowed contrast increment thresholds to be predicted from CRFs using Equation 4. Thus, five parameters varied freely in the fitting.

The simultaneous fits were performed on each data set from four different conditions (i.e., pre- and post-test conditions with contrast-reducing goggles and neutraldensity goggles). Figure 5 shows an example of the results for the group average for the TvC data and the CRF data from cortical areas V1 and V2 respectively. The contrast increment thresholds were plotted as a function of pedestal contrast in log-log coordinates (Figure 5A), demonstrating the characteristic dipper shape (e.g., Legge, 1981). The fMRI BOLD signal changes (%) were plotted as a function of stimulus contrast in linear-log coordinates (Figures 5B and 5C). The fMRI BOLD signals increased with increasing stimulus contrast in both V1 and V2 areas, consistent with earlier studies (Boynton et al., 1999; Gardner et al., 2005; Olman, Ugurbil, Schrater, & Kersten, 2004; Zenger-Landolt & Heeger, 2003).



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and the CRF in the cortical region of V1, i.e., the values of all four parameters ( $R_{max}$ ,  $C_{50}$ , n, m) of Equation 2 were permitted to change independently between the TvC and CRF. Thus, the full model has eight free parameters;

- 2. The reduced model, the model equation (Equation 2) was fit simultaneously to both the TvC data and the CRF data, i.e., the four parameter values are identical for both TvC and CRF. Thus, the reduced model has five free parameters including  $\Delta R_c$ ;
- 3. Then, the residual sums of squares (*RSS*) of the full and reduced models were compared to see if the variance accounted for by the added parameters in the full model is significantly larger than expected by chance:
- 4. We repeated steps 1–3 for the TvC and the CRF in the cortical region of V2.

The nested model test (i.e., *F*-test) confirmed that the reduced model (simultaneous fit) is as good as the full model (separate fits) for all the experimental conditions ( $p \gg 0.05$ ). This is true whether the fMRI data are from cortical regions V1 or V2. In other words, the simultaneous fit provides the simplest and best account for both TvC and CRF data.

The satisfactory nature of the simultaneous fits provides strong confirmation of the hypothesis, encapsulated by Equation 4, linking fMRI BOLD responses in V1 and V2 to psychophysical contrast-discrimination thresholds.

Throughout the rest of this paper, we will rely on this method of simultaneously fitting brain-imaging and behavioral data.

Mean parameter values, averaged across three subjects for the best simultaneous fits and their standard errors are listed in Table 2. These parameter values are similar across all the experimental conditions (i.e., main, control, pre- and post-test conditions) except the parameter,  $R_{\rm max}$  which is affected by adaptation (see Identifying mechanisms of the adaptation effect section). The values provided in Table 2 are taken from the pre-test of the main experiment.

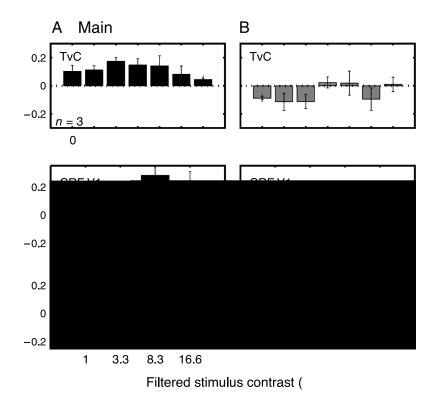
#### Effect of adaptation on TvCs and CRFs

We hypothesized that prolonged contrast adaptation would produce decreased contrast discrimination thresholds and increased gain of fMRI contrast responses in the early visual cortical areas (V1 and V2). Consistent with our prediction, we observed both significant decreases in discrimination thresholds and increases in fMRI BOLD CRFs in the post-tests.

The effect of adaptation on contrast discrimination was quantified as (*pre log threshold*) – (*post log threshold*),

						TvC	CRF	Total
Cortical area	max			50	Δ	error	error	error
V1	2.63 (±0.14)	3.60 (±0.23)	0.54 (±0.05)	1.24 (±0.08)	0.06 (±0.01)	0.09 (±0.03)	0.04 (±0.03)	0.13 (±0.05)
V2	2.68 (±0.19)	3.59 (±0.24)	0.53 (±0.04)	1.25 (±0.07)	0.06 (±0.01)	0.09 (±0.03)	0.07 (±0.05)	0.16 (±0.07)

Table 2. Mean parameter values ( = 3) fit simultaneously to the TvC and CRF data (based on data from the pre-test condition of the main experiment).



and on fMRI response as (*post fMRI BOLD response*) – (*pre fMRI BOLD response*). If there is no change in either thresholds or BOLD responses between pre- and posttests, the difference values would be zero. If there is any compensatory change after adaptation, the difference values would be greater than zero (positive sign).

Figure 6 shows average difference values for the main experiment (first column) and control experiment (second column). The horizontal dashed line in each graph represents the value of zero which indicates no change in thresholds or BOLD responses. It is evident that both TvCs and CRFs from the main experiment show mostly upward bars, i.e., compensatory changes while data from the control experiment show minimal changes.

The noticeable downward bars (i.e., elevated thresholds) observed in the control experiment may have been due to fatigue. All the subjects reported some fatigue after wearing the goggles for four hours.

We performed an analysis of variance (ANOVA) on the contrast-discrimination thresholds—2 (test condition: pre vs. post) × 7 (contrast) repeated measures ANOVA with test condition and contrast as within-subject factors. There was a significant main effect of test condition on discrimination threshold ( $F_{(1,12)} = 31.22$ , p < 0.01). The results show that discrimination thresholds decrease by an average of 0.12 ± 0.04 log units in the post-tests. There was a significant main effect of contrast ( $F_{(6,12)} = 171.34$ ,

p < 0.01), demonstrating the dependency of discrimination thresholds on pedestal contrast. There was no significant interaction between test condition and contrast (p = 0.44).

The results of ANOVA from the control experiment revealed neither a significant main effect of test condition nor a contrast by test-condition interaction (all p > 0.11), but only the expected significant main effect of contrast on threshold ( $F_{(6,12)} = 74.76$ , p < 0.01).

We also conducted an ANOVA on the fMRI contrast response—2 (test condition: pre vs. post)  $\times$  4 (contrast)  $\times$ 2 (area: V1 and V2) repeated measures ANOVA with test condition, contrast and area as within-subject factors. There were significant main effects of test condition  $(F_{(1,22)} = 26.68, p < 0.01)$ . In the post-test, the fMRI contrast response in V1 and V2 areas of the brain were increased on average by a factor of 1.96 and 1.30 respectively. But, neither significant main effects of area nor its interaction with test condition was found (all p > 0.63). There were also significant main effects of contrast  $(F_{(3,22)} = 125.42, p < 0.01)$ , indicating the dependence of the fMRI response on contrast level. Significant interaction between test condition and contrast was also found ( $F_{(3,22)}$  = 7.02, p < 0.01). In the control experiment, we did not observe any significant main effect of test condition, area nor test condition by contrast interaction effect (all p > 0.40). Only a significant main effect of contrast on threshold was observed ( $F_{(3,22)} = 111.45, p < 0.01$ ).

#### Fa <sup>r</sup>ea a ecae<sup>r</sup>

Subjects' CRFs were measured while they were doing a fixation orientation task demanding attention (see details in Method section). Our results show that subjects' performance on the fixation task stayed nearly constant across different conditions: mean accuracy collapsed across contrast levels was  $85 \pm 7\%$  for pre-test and  $87 \pm 10\%$  for post-test in the main experiment;  $84 \pm 8\%$  for pre-test and  $80 \pm 13\%$  for post-test in the control condition.

A 2 (test condition: pre vs. post)  $\times$  4 (contrast) repeated measures ANOVA with test condition and contrast as within-subject factors was conducted on fixation orientation performance. For both main and control experiments, we did not observe any significant main effect of test condition, contrast nor test condition by contrast interaction effect (all p > 0.13).

These results help us to rule out changes in attentional modulation as an explanation for the increase in cortical contrast response following adaptation.

# Identifying mechanisms of the adaptation effect

Figure 7A shows contrast increment thresholds, averaged across subjects, plotted as a function of filtered pedestal

contrast in log-log coordinates. Figures 7B and 7C show fMRI BOLD signal changes (%) in V1 and V2, averaged across subjects, as a function of filtered stimulus contrast in linear-log coordinates. The patterns of results from individual subjects were similar. We used the simultaneous fit to TvC and CRF data (see Linkage between TvCs and fMRI CRFs section) to identify the mechanism underlying the adaptation effect.

We did so by examining the parameter changes in Equation 2 and interpreted these changes to distinguish between contrast gain and response gain: an increase of  $R_{\text{max}}$  in the post-test signifies response gain; a decrease of  $C_{50}$  signifies contrast gain.

Changes in the parameters were studied with the nested model test (see Footnote 3) with a lattice of six models (the full and reduced models, and four intermediates). The nested model test is often used to identify the model that best accounts for the given data with the fewest parameters (c.f., Li, Lu, Tjan, Dosher, & Chu, 2008). We used the following procedure: in the full model, all Figure four parameter values are shared between pre- and posttest conditions. For instance, the  $R_{\text{max}}$  is forced to be identical for the pre- and post-test conditions while other parameters are allowed to vary. Note that the fixed parameter values between pre- and post-test conditions are allowed to vary in the optimization method.

In a nutshell, the response-gain model is the one where only  $R_{\text{max}}$  is allowed to vary between pre- and post-test but the other parameters are forced to have the same values. The contrast-gain model is defined as the one in which only  $C_{50}$  is allowed to vary between pre- and posttest with others being identical. The *n*-*m* model is one with only *n* and *m* parameters being allowed to vary between pre- and post-test.

The nested model test showed that the best fitting model is the response-gain. The model, shown as smooth curves in Figure 7, accounts for 75.39% (V1) and 74.29% (V2) of the variance in the data. This model is statistically superior to the reduced model ( $F_{(2,20)} = 30.64$ , p < 0.01;  $F_{(2,20)} = 28.90$ , p < 0.01 for V1 and V2 respectively), and as good as the full model ( $F_{(6,14)} = 0.95$ , p = 0.30;  $F_{(6,14)} = 1.04$ , p = 0.24). In comparison, neither the contrast-gain model nor *n-m* model is significantly different from the reduced model (all p > 0.1). Both are significantly inferior to the full model, as are the intermediate model that includes  $R_{\text{max}}$  (all p < 0.01).

To evaluate the effect of individual differences on model selection, a statistical bootstrap procedure was performed with the following steps:

- 1. Sampling with replacement a set of TvC and CRF (either V1 or V2) of both pre- and post-test conditions from three subjects;
- 2. Averaging the re-sampled TvCs and CRFs (n = 3), separately for pre- and post-test conditions;
- 3. Six variants (i.e., a lattice of six nested models as stated above) of the model Equation 2 was fitted simultaneously to both TvC and CRF. Then the residual sum of squares (*RSS*) of each model was recorded for the nested model test;
- 4. Using the nested model test, the best fitting model, the one that is not statistically different from the full model but superior to all its reduced models, was selected;
- 5. The frequency of being chosen as the best fitting model for the six candidate models and their parameter values were recorded;
- 6. Steps 1–5 were repeated 100,000 times;

ō 0.3 1 3 3 16 6 0 0.3 1 33166 0.3 1 3.3 16.6 Filtered stimulus contrast (%) Figure 8. Contrast discrimination functions showing that the adaptation effect exhibits interocular transfer. Each panel shows data from one subject and contains two TvC functions measured in the eye that is occluded by a translucent occluder during the four-hour adaptation period: one from the pre-test (dotted line with open squares) and the other from the post-test (solid line with closed circles). Each data point represents the geometric mean of four threshold estimates, each derived from a forced-choice

staircase. Each error bar represents 1

7. The mean parameter values and its standard errors of the best fitting model were computed as shown in Table 3.

The bootstrap analysis showed that the response gain model was the best fitting model 96.13% of the time. Neither the contrast gain nor n-m model was ever the best fitting model. These results support the response-gain model as the best account of our data.

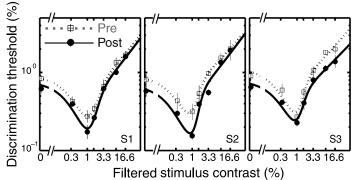
The parameters of the best fitting response-gain model and their standard errors are summarized in Table 3. Adaptation increased  $R_{\text{max}}$  by a factor of approximately 1.30 in units of percent signal changes in V1 and V2 areas.

#### Interocular transfer of the adaptation effect

If contrast adaptation occurs at the cortical level where binocular convergence occurs, we would predict an interocular-transfer of the adaptation effect when we measure performance in the occluded eye. However, if the adaptation is pre-cortical (e.g., in the retina or LGN), we would not expect to observe transfer of adaptation to the unadapted occluded eye.

Cortical area	Test	max			50
V1	Pre-test Post-test	2.8223 (±0.0011) 3.6893 (±0.0018)	3.5588 (±0.0017)	0.5091 (±0.0004)	1.2887 (±0.0007)
V2	Pre-test Post-test	2.8252 (±0.0011) 3.6875 (±0.0019)	3.5585 (±0.0017)	0.5088 (±0.0004)	1.2892 (±0.0007)

Table 3. Parameters of the best fitting model in V1 and V2 areas.



As expected for the cortical site of adaptation, we observed a significant improvement in discrimination sensitivity in the occluded eye following adaptation for all three subjects (Figure 8). The contrast-discrimination results revealed full interocular transfer of the adaptation effect. Discrimination thresholds decreased by an average of 0.14  $\pm$  0.01 log units in the post-tests for the occluded eye, close to the average decrease of 0.12 log units for the adapted eye. A 2 (test condition: pre vs. post)  $\times$  7 (contrast) repeated measures ANOVA revealed that the difference in thresholds between pre- and post-tests was significant ( $F_{(1,12)}$  = 39.46, p < 0.01). The difference in thresholds across contrast levels was also significant  $(F_{(6,12)} = 153.90, p < 0.01)$ , demonstrating the dependency of discrimination thresholds on pedestal contrast. No significant interaction effect was found (p > 0.1).

Our transfer test does not rule out the possibility that monocular occlusion (i.e., adaptation to a field with zero contrast) produces the adaptation effect. This would not be expected, however, if the visual system is adapting in a compensatory way to a change in the contrast characteristics of visible patterns.

# **Discussion**

Our major finding is that four hours of exposure to a low-contrast visual environment produced significant changes in contrast coding demonstrated both behaviorally and in cortical responses. The nature of the changes was consistent with our three predictions from the compensation hypothesis:

- 1. improvement in contrast-discrimination sensitivity;
- 2. an increase in the gain of fMRI contrast response functions in visual cortical areas V1 and V2, and
- 3. interocular transfer of these adaptation effects.

The mechanisms of this adaptive change can be accounted for by the response-gain model. In addition, we also established quantitatively the adequacy of a simple linking hypothesis relating neural response to behavioral contrastdiscrimination data (Boynton et al., 1999; Legge & Foley, 1980; Zenger-Landolt & Heeger, 2003).

#### L g c ca T C fMRI BOLD CRF

Our results confirmed the theoretical linkage between TvC and CRF, that is, an increment in contrast ( $\Delta C$ ) can be detected by a human observer only when the increment in the neuronal response increases by some criterion amount ( $\Delta R_c$ ) (Legge & Foley, 1980; Ross & Speed, 1991). The hypothetical relationship between psychophysical

TvCs and CRFs measured with fMRI has been demonstrated in early human visual cortical areas (e.g., Boynton et al., 1999; Zenger-Landolt & Heeger, 2003). However, our study is the first to show that the linkage is retained following a manipulation that is likely to modify contrast coding.

Previous psychophysical estimates of the underlying CRF have a typical value of approximately 0.4 for the exponent in the compressive region (Foley & Legge, 1981; Legge & Foley, 1980). Boynton et al. (1999) obtained a value of 0.3 for the exponent in their fMRI study. Our best-fit parameter value has an exponent,  $m \approx 0.5$  in the compressive region, which is comparable to those of previous studies.

Psychophysical estimates of the underlying CRFs suggest an expansive region with an exponent of about 2.4–2.7 (Foley, 1994; Legge & Foley, 1980). Boynton et al. (1999) observed an exponent of about 1.6 in the expansive region. The corresponding value from our study for  $n + m \approx 4$  which is significantly larger than the values observed in earlier studies. This result corresponds to a more pronounced dip in the TvC function at near threshold contrast than usually observed.

Boynton et al. (1999) showed that the estimate of  $\Delta R_c$  is about 0.02 in units of a percentage change in BOLD signals, meaning that two stimuli producing fMRI BOLD responses that differ by this amount will be distinguishable behaviorally approximately 79% of the time, regardless of the pedestal contrast. Our best-fit parameter value of  $\Delta R_c$  is about 0.06 which is larger than the value found by Boynton et al. (1999).

Boynton et al. (1999) used a contrast discrimination task in the scanner. We used a fixation orientation task with passive viewing of contrast in order to minimize topdown effects from attention. Some of the discrepancies in parameter values between ours and Boynton's study might be accounted for by methodological differences including task differences influencing attention.

Our best-fit parameter values for the simultaneous fit to both TvCs and CRFs are very similar across V1 and V2 areas, suggesting similar contrast coding. These results are consistent with Gardner et al. (2005)'s findings, demonstrating similar contrast gain in V1 through V3 areas following short-term adaptation.

Whether the adaptive changes observed in the early visual areas are generalized to higher cortical areas is of importance, but little is known. Only two studies have addressed contrast adaptation in higher areas: monkey MT (Kohn & Movshon, 2003) and hV4 (Gardner et al., 2005). Perhaps, as suggested by Kohn and Movshon (2003), contrast adaptation occurs mostly in the early visual area V1 and then is simply passed along to later stages of the system, rather than taking place independently in different areas. However, we cannot rule out some possible feedback mechanism from higher level areas as hinted at by Gardner et al. (2005).

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Our results showed that prolonged exposure to low contrasts lead to compensatory changes in visual contrast coding in both behavioral and physiological domains. We observed that following the prolonged adaptation, discrimination thresholds decreased by an average of  $0.12 \pm 0.04$  log units and the fMRI contrast response in V1 and V2 areas of the brain were increased by average factors of 1.96 and 1.30 respectively.

Nevertheless, it is possible that covert attention could play a role in the observed difference in fMRI contrast response between pre- and post-tests. Perhaps, subjects paid more attention to stimulus contrast in the post-test than pre-test which could have resulted in an increase in cortical contrast responses. It has been reported that attention modulates fMRI contrast response function via additive gain (Buracas & Boynton, 2007; Murray, 2008) or multiplicative gain (Li et al., 2008) of fMRI contrast response functions. Increased attention to the annular contrast stimuli would be expected to result in decreased performance in the fixation orientation task in central vision. If so, we should be able to observe a noticeable decrease in fixation orientation performance in the posttests and similarly, differences in fixation performance in the main experiment (pre vs. post) and control experiment (pre vs. post).

We did not find any significant differences between preand post tests in performance on the fixation task for either the main or control experimental conditions. These results encourage us to believe that subjects' covert attention is not likely to play a role in the observed increase in cortical contrast response following adaptation.

According to the contrast attenuation model (Rubin & Legge, 1989), there should be a direct correspondence between the input contrast and the perceptual or neural representation of the input contrast. In other words, when the input contrast is reduced by some attenuation factor there should be a corresponding reduction in the perceptual level of the contrast by the same attenuation factor. This model does not anticipate any modification in the visual coding of retinal-image contrast. Our findings however, show that the prolonged exposure to reduced contrast changes the neural representation so that the precision of contrast coding improves for low retinal-image contrasts.

#### Temeca m feada a <sup>′</sup>ged c′a de′a

Our study provide evidence for response gain as the mechanism underlying adaptation to prolonged contrast deprivation. Our results showed adaptation increases the  $R_{\text{max}}$  parameter value by a factor of approximately 1.30 for V1 and V2 areas.

Changes in the contrast response function of visual cortical neurons following contrast adaptation are often

mathematically formulated as either a contrast gain model or axposurefis

the contrast-gain mechanism which accounts for shortterm adaptation. Our results provide evidence for a response gain mechanism in which the slope of the CRF is steeper near the prevailing contrast following adaptation.

#### C ca m ca f' -

The question of whether there are any compensatory perceptual or neural changes in response to visual deprivation is relevant to low-vision rehabilitation. One possible implication of our findings is that after prolonged experience with eye conditions yielding reduced retinalimage contrast, such as cataract, people might achieve higher discrimination sensitivity for low contrasts compared to people with normal vision. An analogous effect appears in our results. After adapting to low contrasts, subjects' contrast discrimination thresholds were lower than those of subjects wearing the ND filters at least for a certain range of contrast (i.e., 1%, 1.6% and 3.3%) by up to a factor of 1.60.

It remains to be determined if neural compensation takes the form of response gain for cases of contrast deprivation over months or years. Studies of amblyopia hinted a possible role of neural compensation in chronically deprived visual systems (Hess & Bradley, 1980; Simmers, Bex, & Hess, 2003). Hess and Bradley (1980) reported that despite marked contrast deficits at threshold, no contrast coding abnormality in amblyopia was found in suprathreshold vision (typical of daily vision). They argued that substantial neural compensation may have occurred within the amblyopic visual system, which in turn may explain why adult amblyopes do not accept that the degraded vision from their amblyopic eye looks like a defocused version of what they see through their fellow normal eye (Hess & Bradley, 1980). Similar neural compensation or adjustment for perceived contrast and blur has been also observed in normal vision (Georgeson & Sullivan, 1975; Webster, Georgeson, & Webster, 2002).

# Conclusions

In summary, our findings are consistent with the *compensation hypothesis*, that prolonged exposure to low contrast stimuli results in compensatory changes in the adult human visual system. We found that prolonged contrast reduction resulted in:

- 1. improvement in behavioral contrast discrimination;
- 2. increased fMRI contrast responses in the early visual cortical areas of the brain (V1 and V2); and
- 3. interocular transfer of the adaptation effect, confirming that the adaptation occurs at the cortical level.

Quantitative analysis of our data provides evidence for response gain as the mechanism underlying changes in contrast coding in the presence of prolonged contrast deprivation.

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Commercial relationships: none. Corresponding author: MiYoung Kwon. Email: kwon0064@umn.edu. Address: 75 East River Road, Minneapolis, MN 55455, USA.

#### Footnotes

<sup>1</sup>It may be helpful to think of this limited range of response as consisting of finite discrete steps (the assumption of finite steps corresponds to finite steps in neural response associated with discriminable steps in sensation, i.e., "just noticeable differences"). When the upper bound on input contrast is permanently reduced, the discrete set of response steps covers a smaller range of contrast values, resulting in more response steps per unit change in contrast. In other words, a response J.N.D. is associated with a smaller increment in contrast. This is one way of conceiving of "response gain."

<sup>2</sup>The filtered contrast denotes the stimulus contrast taking into account attenuation by the contrast-reducing goggles. It was obtained by dividing the stimulus contrast by a factor of 3.

<sup>3</sup>The nested model test (i.e., *F*-test) is to compare competing models, in which one model is nested within another (Cook & Weisberg, 1999). Two models are nested if both contain the same terms and one has at least one additional term. A model with more terms is the full model (equivalently the null hypothesis) and one with lesser terms is the reduced model (i.e., nested or equivalently the alternative hypothesis). If the full model provides a much better fit than does the reduced model, we would expect that the residual sum of squares (*RSS*) under the full model will be considerably smaller than the *RSS* under the reduced model. This comparison provides the basis for a test of the competing models. The test statistics is:  $F_{\text{stat}} = \frac{(RSS_{\text{reduced}} - RSS_{\text{full}})/(df_{\text{reduced}} - df_{\text{full}})}{RSS_{\text{full}}/df_{\text{full}}}$ , where *RSS* full is the sum of squares under the full model and

 $RSS_{reduced}$  is the sum of squares under the reduced model. When the null hypothesis is true, this statistic has an  $F_{d1,d2}$  distribution with  $d1 = df_{reduced} - df_{full}$  and  $d2 = df_{full}$ . The *p*-value of the test is computed as the probability that an  $F_{d1,d2}$  random variable is as large or larger than the observed value of *F*. In other words, the test evaluates whether the variance accounted for by the added terms in the full model is significantly larger than expected by chance. Using a lattice of nested model, we can select the simplest model which can account best for the data.

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